

Section I (General)

The following information is provided relative to bids submitted for OCS Lease Sale Number: _____

Name of Company Submitting Bid(s): _____

BOEM Company Number: _____ Tax Identification Number: _____

Pay.Gov User: _____
(Name) (Area Code – Phone Number(s))

Email Address: _____

New Bidders

Once the Office of Natural Resources Revenue (ONRR) receives all lease sale Bidder's Financial Forms (BFFs), it will work with Pay.gov to establish your Pay.gov account. This process will take up to 48 hours. When Pay.gov has established your user account, you and ONRR will receive a message that this has been completed. ONRR will link your new account to the entity on whose behalf you are making a bid deposit and the current lease sale number. Once linked, you will receive a second message from Pay.gov. You may log in after receiving the second Pay.gov message to ensure site access.

Existing Bidders

Once ONRR receives all lease sale BFFs, it will add the current lease sale number, and if necessary, link your username to the entity on whose behalf you are making a bid deposit.

New and Existing Bidders

Upon completion of the above Pay.gov steps, ONRR will send Pay.gov payment instructions.

** Please factor in the additional time to establish and link user accounts in Pay.gov. We encourage you to promptly log into Pay.gov and make your bid deposit to ensure the deposit receipt by the Bid Deposit Deadline. **

Section II (Principal Point of Contact)

_____ (Principal Point of Contact Name)	_____ (Area Code – Contact Phone Number)
_____ (Company Street Address)	_____ (City, State, Zip)
_____ (Email Address)	_____ (Alternate Phone) (Fax)
Signature_____	

Section III (Electronic Fund Transfer Payments)

Electronic Fund Transfer (EFT) payments will be coordinated by:

_____ (Primary Individual)	_____ (Phone) (Fax)
_____ (Alternate Individual)	_____ (Phone) (Fax)

Section IV (Automated Clearing House Refunds)

Automated Clearing House (ACH) refunds will be made to: (Please do not use Fedwire Information for Refunds)

(Name of Receiving Financial Organization)

(Recipient Account Number at Receiving Financial Organization)

(City and State of Receiving Financial Organization)

(Recipient Name in which Account is Maintained)

(Nine-digit American Bankers Association Routing Number)

Note: The receiving financial organization must be a U.S. Corporation.

Section V (Individuals Authorized to Bid)

The following individuals will be the only people authorized to bid on behalf of the company identified in Section I. Up to five individuals may be nominated by the company.

(Authorized Individual 1 Name)

(Area Code – Contact Phone Number(s))

(Company Street Address)

(City, State, Zip)

(Email Address)

(Fax #)

Signature_____

(Authorized Individual 2 Name)

(Area Code – Phone Number(s))

(Company Street Address)

(City, State, Zip)

(Email Address)

(Fax #)

Signature_____

(Authorized Individual 3 Name)

(Area Code – Phone Number(s))

(Company Street Address)

(City, State, Zip)

(Email Address)

(Fax #)

Signature_____

(Authorized Individual 4 Name)

(Area Code – Phone Number(s))

(Company Street Address)

(City, State, Zip)

(Email Address)

(Fax #)

Signature_____

_____ (Authorized Individual 5 Name)	_____ (Area Code – Phone Number(s))
_____ (Company Street Address)	_____ (City, State, Zip)
_____ (Email Address)	_____ (Fax #)
Signature_____	

Section VI (Affiliated Entities)

The following qualified bidders for this lease sale are affiliated with the company identified in Section I.

Section VII (Certification)

1. I, _____, certify that the company identified in Section I did not and will not engage in anticompetitive bidding behavior in violation of Federal law, BOEM's regulations, or auction procedures.
2. I further certify that the participation of the company identified in Section I in this auction process is a good faith effort to win a lease to engage in the production of critical minerals.
3. I, as an authorized representative of the company identified in Section I, certify to the truth and accuracy of the statements and information provided in Sections I through VI of this form.
4. I hereby acknowledge that any individual identified in Section V as an authorized bidder shall, by any act undertaken during the oral ascending auction process, create a legally binding obligation on behalf of the bidder(s) to adhere to all applicable regulations, including fulfillment of the final bid deposit and bonus bid payment for all high bids, as provided in the Final Leasing Notice.

(Signature of Authorized Officer)

Date

(Name)

(Title)

Section VIII (Lease Sale Recertification)
(To be completed on day of sale)

☐ I, _____, as an authorized representative of the company, hereby recertify at the time of the lease sale that all information previously submitted in Sections I through VII of this Bidder's Financial Form remains true, correct, current, and complete.

By signing below, I affirm that:

1. The company's eligibility to participate in this lease sale remains unchanged.
2. All individuals identified in Section V remain duly authorized to submit oral bids on behalf of the company.
3. No circumstances have arisen since the original submission of this form that would affect the accuracy or validity of the certifications previously made, including certifications related to anticompetitive behavior and good-faith participation.
4. I understand that this recertification is required before any oral bids may be offered and that failure to provide accurate recertification may result in disqualification from the auction.

-or-

☐ I, _____, as an authorized representative of the company, hereby acknowledge at the time of the lease sale that changes were needed to the company's information, authorized bidders, affiliated entities, and/or financial arrangements previously provided in Sections I through VII of this Bidder's Financial Form above, and that all such changes have been fully disclosed to BOEM to BOEM's satisfaction prior to the start of the oral ascending auction.

(Signature of Authorized Officer)

Date

(Name)

(Title)

(Company Name)

Instructions to Bidders for the Bidder's Financial Form

The instructions below detail how to complete the Bidder's Financial Form. For ease of reference, each data item is entitled exactly as it appears on the form.

Section I (General)

- Enter the **OCS Lease Sale Number** – a new “Bidder's Financial Form” will be required for each sale
 - **Name of Company Submitting Bid** should be the same name as listed on the qualification documentation with BOEM
 - **BOEM Company Number** – the qualification number issued by BOEM
 - **Tax Identification Number** – your company's TIN #
 - **Pay.Gov User** is the individual who will be leaving a bid deposit on behalf of the company. This user will be registered with pay.gov to give them access to place a bid deposit in a lease sale. This **Phone Number** and **Email Address** will be used to contact the individual when they are established in pay.gov and to give additional instructions on how to setup a pay.gov account. *This is a private form on pay.gov and only individuals who have been properly set up and linked to a company can access this page and submit a bid deposit on behalf of a company.* If you already have a pay.gov account active under the email address provided, BOEM will link that address to your pay.gov account shortly after receiving and processing this form.
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Section II (Principal Point of Contact)

BOEM will use this point of contact for any timely auction-related communications. This may include information regarding location changes, bidding rules, and information regarding the auction seminar.

Section III (EFT Payments)

- **Primary Individual, Phone and Fax** number (including the area code) should be those of your EFT coordinator. BOEM or ONRR will contact this person to resolve any EFT problems.

Only one EFT coordinator and one alternate should be designated for bids made by several companies as joint bidders.

NOTE: EFT includes ACH, Fedwire, and Pay.Gov

Section IV (ACH Refunds)

Unless your bid deposit is applied to your winning bid, refunds will be transmitted using ACH according to the payment information provided in this section. BOEM will not return the bid deposit of a bidder who submits a winning bid but does not (or cannot) timely pay

any balance due, establish financial assurance, and sign the lease copies.

- **Nine-digit American Bankers Association Routing Number** is the RTN number
- **Recipient Account Number at Receiving Financial Organization** is the DAN number

NOTE: *Be sure you provide ACH information and not Fedwire. If you are unclear on what this information is, you may verify this information with your bank. Refunds will not be issued through Pay.gov.*

Section V (Individuals Authorized to Bid)

- **Individuals 1-5** will be the only individuals authorized to bid in the auction. At least one and up to five individuals may be specified. Be sure to complete **Street Address, City, State, Zip Code, Email Address, Contact Phone Number(s), and Signature** for all specified individuals.
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Section VI (Affiliated Entities)

“Affiliated entities” is defined in the final leasing notice for this lease sale. BOEM considers two entities to be affiliated if (a) one entity (or its parent or subsidiary) has or retains a right, title, or interest in the other entity (or its parent or subsidiary), including the ability to control or direct actions with respect to such entity, either directly or indirectly, individually or through any other party; or (b) the entities are both direct or indirect subsidiaries of the same parent company. Entities are also affiliated if ownership or common ownership of more than 50 percent of the voting securities, or instruments of ownership or other forms of ownership, of another person constitutes control (person means any individual, firm, corporation, association, partnership, consortium, or joint venture (when established as a separate entity)). BOEM will consider each of the following factors to determine if there is control under the circumstances of a particular case:

- a. The extent to which there are common officers or directors.
 - b. With respect to the voting securities, or instruments of ownership or other forms of ownership: The percentage of ownership or common ownership, the relative percentage of ownership or common ownership compared to the percentage(s) of ownership by other persons, if a person is the greatest single owner, or if there is an opposing voting bloc of greater ownership.
 - c. Operation of a lease, plant, pipeline, or other facility.
 - d. The extent of other owners' participation in operations and day-to-day management of a lease, plant, or other facility.
 - e. Other evidence of power to exercise control over or common control with another person.
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Section VII (Certification)

The person signing this form must be an authorized signatory with their name and title depicted exactly as reflected in the qualification documentation on file with BOEM. An original signed form must be mailed to BOEM’s Pacific Regional Office (see mailing address below). Emailed and faxed copies will not be accepted for certification.

Section VIII (Lease Sale Recertification)

Prior to the offering of any oral bids at the lease sale, the BFF must be recertified by an authorized representative as provided in the company's qualification information. This recertification will occur on-site at the lease sale and must confirm that the bidder's qualifications, ownership information, authorized representatives, and any related disclosures remain accurate and unchanged, as listed on the BFF. Any updates or changes must be declared at this time. Bidders who do not complete the required recertification will not be permitted to submit an oral bid.

The completed form is due by the date specified in the final leasing notice. For this sale, BOEM will only accept bidders' BFFs by mail. The BFF must be executed on paper with a wet signature by an authorized representative identified in the bidder's qualification documentation as authorized to bind the company.

Mail hard copy to:

Attn: Leasing Specialist
Bureau of Ocean Energy Management
Pacific Regional Office
Mail Stop CM 102
760 Paseo Camarillo (Suite 102)
Camarillo, California 93010-6002

Please contact Joshua Gange for questions regarding this form at 703-787-1121 or joshua.gange@boem.gov.