



United States Department of the Interior  
 Bureau of Ocean Energy Management  
**BIDDER'S FINANCIAL FORM**

**Section I (General)**

The following information is provided relative to bids submitted for OCS Lease Sale Number: \_\_\_\_\_

Name of Company Submitting Bid(s): \_\_\_\_\_

BOEM Company Number: \_\_\_\_\_ Tax Identification Number: \_\_\_\_\_

Pay.gov User: \_\_\_\_\_  
 (Name) (Area Code - Phone Number(s))

Email address: \_\_\_\_\_

**New Renewable Energy Bidders**

Once the Office of Natural Resources Revenue (ONRR) receives all lease sale Bidder's Financial Forms (BFFs), it will work with Pay.gov to establish your Pay.gov account. This process will take up to 48 hours. When Pay.gov has established your user account, you and ONRR will receive a message that this has been completed. ONRR will link your new account to the entity on whose behalf you are making a bid deposit and the current lease sale number. Once linked, you will receive a second message from Pay.gov. You may log in at this time to ensure site access.

**Existing Renewable Energy Bidders**

Once ONRR receives all lease sale BFFs, it will add the current lease sale number, and if necessary, link your username to the entity on whose behalf you are making a bid deposit.

**New and Existing Bidders**

Upon completion of the above Pay.gov steps, ONRR will send Pay.gov payment instructions.

**\*\* Please factor in the additional time to establish and link user accounts in Pay.gov. We encourage you to promptly log into Pay.gov and make your bid deposit to ensure the deposit receipt by the Bid Deposit Deadline. \*\***

**Section II (Principal Point of Contact)**

_____	_____	
(PPoC Name)	(Area Code - Contact Phone Number)	
_____	_____	
(Company Street Address)	(City, State, Zip)	
_____	_____	_____
(E-mail Address)	(Alternate Phone)	(Fax)
Signature _____		

**Section III (Electronic Fund Transfer Payments)**

Electronic Fund Transfer (EFT) payments will be coordinated by:		
_____	_____	
(Primary Individual)	(Phone)	(Fax)
_____	_____	
(Alternate Individual)	(Phone)	(Fax)

**NOTE: THIS INFORMATION MUST BE PROVIDED FOR EACH LEASE SALE IN WHICH YOU WILL PARTICIPATE. PROVIDE THIS INFORMATION NO LATER THE DATE PUBLISHED IN THE FINAL SALE NOTICE FOR THIS SALE.**

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**Section IV (Automated Clearing House Refunds)**

Automated Clearing House (ACH) refunds will be made to (Please do not use Fedwire Information for Refunds):

\_\_\_\_\_  
(Name of Receiving Financial Organization)

\_\_\_\_\_  
(Recipient Account Number at Receiving Financial Organization)

\_\_\_\_\_  
(City and State of Receiving Financial Organization)

\_\_\_\_\_  
(Recipient Name in which Account is Maintained)

\_\_\_\_\_  
(Nine-digit American Bankers Association Routing Number)

Note: The receiving financial organization must be a US Corporation.

**Section V (Individuals Authorized to Bid)**

The following individuals will be the only people authorized to bid on behalf of the company identified in section I. Up to three individuals may be nominated by the company.

\_\_\_\_\_  
(Authorized Individual 1 Name)

\_\_\_\_\_  
(Area Code - Contact Phone Number(s))

\_\_\_\_\_  
(Company Street Address)

\_\_\_\_\_  
(City, State, Zip)

\_\_\_\_\_  
(E-mail Address)

\_\_\_\_\_  
(Fax #)

Signature\_\_\_\_\_

\_\_\_\_\_  
(Authorized Individual 2 Name)

\_\_\_\_\_  
(Area Code - Phone Number(s))

\_\_\_\_\_  
(Company Street Address)

\_\_\_\_\_  
(City, State, Zip)

\_\_\_\_\_  
(E-mail Address)

\_\_\_\_\_  
(Fax #)

Signature\_\_\_\_\_

\_\_\_\_\_  
(Authorized Individual 3 Name)

\_\_\_\_\_  
(Area Code - Phone Number(s))

\_\_\_\_\_  
(Company Street Address)

\_\_\_\_\_  
(City, State, Zip)

\_\_\_\_\_  
(E-mail Address)

\_\_\_\_\_  
(Fax #)

Signature\_\_\_\_\_

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**Section VI (Affiliated Entities)**

The following qualified bidders listed in the final sale notice for this lease sale are affiliated with the company identified in section I.

_____	_____
_____	_____
_____	_____

**Section VII (Region Selection)**

The following information is provided relative to bids submitted for OCS Lease Sale Number PACW-1 which will comprise two (2) Regions in California:

- North Coast Region – Leases OCS-P 0561 (Humboldt NE) and OCS-P 0562 (Humboldt SW)
- Central Coast Region – Leases OCS-P 0563 (Morro Bay NW), OCS-P 0564 (Morro Bay C), and OCS-P 0565 (Morro Bay E)

\_\_\_\_\_ I wish to qualify to bid on leases in the **North Coast Region** of this OCS Lease Sale in California.

\_\_\_\_\_ I wish to qualify to bid on leases in the **Central Coast Region** of this OCS Lease Sale in California.

\_\_\_\_\_ I agree to meet all requirements for each Region selected above for my bidding credit selections in

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**Section VII (Certification)**

1. I, \_\_\_\_\_, certify that the company identified in section I did not and will not engage in anticompetitive bidding behavior in violation of Federal law, BOEM's regulations, or auction procedures.
2. I further certify that the participation of the company identified in section I in this auction process is a good faith effort to win a lease to engage in the development of renewable energy resources.
3. I, as an authorized representative of the company identified in section I, certify to the truth and accuracy of the statements and information provided in sections I through VI of this form.
4. I acknowledge that, with regard to the computer system supporting the auction, unauthorized user attempts to (a) access, upload, change, delete or deface information on this system, (2) modify this system, (3) deny access to this system, or (4) otherwise misuse this system are strictly prohibited and may result in criminal and civil penalties.

\_\_\_\_\_  
(Signature of Authorized Officer)

\_\_\_\_\_  
Date

\_\_\_\_\_  
(Name)

\_\_\_\_\_  
(Title)

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**Section VIII (Bidding Credits)**

- \_\_\_\_\_ Yes, I will meet the requirements for a 20 percent bidding credit for committing funds to support workforce training programs for the offshore wind industry, development of a U.S. domestic supply chain for the offshore wind energy industry, or both. My funds commitment will equal 80 percent of the bidding credit value if I am the winning bidder for a lease. Additional information and guidance can be found in the document entitled, *Bidding Credit – Requirements and Restrictions*, which is an addendum to this form.
- \_\_\_\_\_ Yes, I will meet the requirements for a 2.5 percent bidding credit for entering into a Lease Area Use Community Benefit Agreement (CBA) with a community or stakeholder group whose use of the geographic space of the Lease Area, or whose use of resources harvested from that geographic space, is directly impacted by the Lessee's potential offshore wind development. Additional information and guidance can be found in the document entitled, *Bidding Credit – Requirements and Restrictions*, which is an addendum to this form.
- \_\_\_\_\_ I have executed a qualifying Lease Area Use CBA. I have attached the CBA to meet the requirement to earn the 2.5 percent bidding credit with this form.
- \_\_\_\_\_ I have agreed to meet the requirements for at least one of the above bidding credits. I have attached my conceptual strategy(ies) (or qualifying Lease Area Use CBA if applicable) to meet the requirements to earn the bidding credit(s) with this form. Should I qualify for each bidding credit I have indicated, my component of my high bid. BOEM will confirm my bidding credit eligibility prior to the Mock Auction.
- \_\_\_\_\_ No, I do not wish to commit to (1) funding workforce training or supply chain development in return for a 20 percent bidding credit or (2) entering into a CBA with a community or stakeholder group whose use of the geographic space of the Lease Area, or whose use of resources harvested from that geographic space, is directly impacted by the Lessee's potential offshore wind development for a 2.5 percent bidding credit.

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# Instructions to Bidders for the Bidder's Financial Form

The instructions below detail how to complete the Bidder's Financial Form. For ease of reference, each data item is entitled exactly as it appears on the form.

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## Section I (General)

- Enter the **OCS Lease Sale Number** – a new “Bidder's Financial Form” will be required for each sale
  - **Name of Company Submitting Bid** should be the same name as listed on the qualification package with BOEM
  - **BOEM Company Number** – the qualification number issued by BOEM
  - **Tax Identification Number** – your company's TIN #
  - **Pay.Gov User** is the individual who will be leaving a bid deposit on behalf of the company. This user will be registered with pay.gov to give them access to place a bid deposit in a renewable energy lease sale. This **Phone Number** and **E-mail Address** will be used to contact the individual when they are established in pay.gov and to give additional instructions on how to setup a pay.gov account. *This is a private form on pay.gov and only individuals who have been properly set up and linked to a company can access this page and submit a bid deposit on behalf of a company.* If you already have a pay.gov account active under the email address provided, BOEM will link that address to your pay.gov account shortly after receiving and processing this form.
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## Section II (Principal Point of Contact)

BOEM will send Bidder Authentication materials separately for security reasons. BOEM will send one package to the Principal Point of Contact, and the other will be sent directly to each authorized user at the email addresses provided in Section V. The auction system will require software tokens for two-factor authentication. To set up the tokens, authorized individuals will need to download an app onto their smartphone or tablet with a recent operating system. One of the emails sent to authorized individuals will contain instructions for installing the app and the credentials needed to activate the software token. A short telephone conversation with the auction contractor may also be needed to use the credentials. The login information, along with the tokens, will be tested during the mock auction, and during an optional connectivity test prior to the mock auction. If an eligible bidder fails to submit a bid deposit or does not participate in the auction, BOEM will de-activate that bidder's tokens and login information.

If you need to verify this information, you may contact the BOEM Pacific Regional Office at [renewableenergypocs@boem.gov](mailto:renewableenergypocs@boem.gov). Packages to be sent will include: Bid Packages containing software bidding tokens, information on the auction system, and Lease Forms to be executed.

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## Section III (EFT Payments)

- **Primary Individual, Phone and Fax number** (including the area code) should be those of

your EFT coordinator. The BOEM or ONRR will contact this person to resolve any EFT problems.

Only one EFT coordinator and one alternate should be designated for bids made by several companies as joint bidders.

*NOTE: EFT includes: ACH, Fedwire and Pay.Gov*

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#### **Section IV (ACH Refunds)**

Unless your bid deposit is applied to your winning bid, refunds will be transmitted using ACH according to the payment information provided in this section. BOEM will not return the bid deposit of a bidder who submits a winning bid but does not (or cannot) timely pay any balance due, establish financial assurance, and sign the lease copies.

- **Nine-digit American Bankers Association Routing Number** is the RTN number
- **Recipient Account Number at Receiving Financial Organization** is the DAN number

*NOTE: Be sure you provide ACH information and not Fedwire. If you are unclear on what this information is, you may verify this information with your bank. Refunds will not be issued through Pay.gov.*

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#### **Section V (Individuals Authorized to Bid)**

- **Individuals 1-3** will be the only individuals authorized to participate in the auction. At least one and up to three individuals may be specified. Be sure to complete **Street Address, City, State, Zip Code, E-mail Address Contact Phone Number(s), and Signature** for all specified individuals.
- The login credentials (userid, password, token ID) are assigned to these individuals and are not transferrable. The userid and password will be sent directly to the individuals, and the tokens will be sent separately to the principal point of contact for distribution.

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All authorized individuals will receive a link to the “Auction Manual.”

#### **Section VI (Affiliated Entities)**

“Affiliated entities” will be defined in the final sale notice for this lease sale. BOEM proposes the following definition of affiliated entities in the proposed sale notice: BOEM considers two entities to be affiliated if (a) one entity (or its parent or subsidiary) has or retains a right, title, or interest in the other entity (or its parent or subsidiary), including the ability to control or direct actions with respect to such entity, either directly or indirectly, individually or through any other party; or (b) the entities are both direct or indirect subsidiaries of the same parent company. Entities are also affiliated if ownership or common ownership of more than 50 percent of the voting securities, or instruments of ownership or other forms of ownership, of another person constitutes control. This definition is subject to change as a result of BOEM’s review of comments.

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## Section VII (Region Selection)

Bidders must state the Region(s) of the Lease Sale for which they intend to qualify to bid. Your Region selection will inform your bidding eligibility and determine your bid deposit amount. You must also agree to meet the requirements for your bidding credit selections in section IX for each Region you select.

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## Section VIII (Bidding Credit)

Bidders must state whether they are committing to contribute funds to earn the bidding credit(s).

To earn a bidding credit worth 20 percent of your cash bid, your contribution of funds must support workforce training programs, domestic supply chain development, or both. You must commit 80 percent of the bidding credit amount to workforce training, supply chain development, or both as described in the final sale notice and the addendum to this form entitled *Bidding Credit – Requirements and Restrictions*.

To earn a bidding credit worth 2.5 percent of your cash bid, you must execute a CBA with a community or stakeholder group whose use of the geographic space of the Lease Area, or whose use of resources harvested from that geographic space, is directly impacted by the Lessee's potential offshore wind development. The CBA bidding credit requirements will be described in the final sale notice and the addendum to this form entitled *Bidding Credit – Requirements and Restrictions*.

Winning bidders will be obligated to honor their commitments per the lease's bidding credit provisions.

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## Section IX (Certification)

The person signing this form must be an authorized signatory with their name and title depicted exactly as reflected in the qualification documentation on file with BOEM. An original signed form must be mailed to BOEM's Pacific Regional Office (see mailing address below). Emailed and faxed copies will not be accepted for certification.

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**The completed form is due by the date specified in the final sale notice for this sale.**

Mail hard copy to:  
Bureau of Ocean Energy Management  
Pacific Regional Office  
Mail Stop CM 102  
760 Paseo Camarillo (Suite 102)  
Camarillo, California 93010-6002

Please contact Sara Gultinan for questions regarding this form at (805) 384-6345 or [sara.gultinan@boem.gov](mailto:sara.gultinan@boem.gov).