



United States Department of the Interior
Bureau of Ocean Energy Management
BIDDER'S FINANCIAL FORM

Section I (General)

The following information is provided relative to bids submitted for OCS Lease Sale Number: _____

Name of Company Submitting Bid(s): _____

BOEM Company Number: _____ Tax Identification Number: _____

Pay.gov User: _____
(Name) (Area Code - Phone Number(s))

Email Address: _____

New Renewable Energy Bidders

Once the Office of Natural Resources Revenue (ONRR) receives all lease sale Bidder's Financial Forms (BFFs), it will work with Pay.gov to establish your Pay.gov account. This process will take up to 48 hours. When Pay.gov has established your user account, you and ONRR will receive a message that this has been completed. ONRR will link your new account to the entity on whose behalf you are making a bid deposit and the current lease sale number. Once linked, you will receive a second message from Pay.gov. You may log in at this time to ensure site access.

Existing Renewable Energy Bidders

Once ONRR receives all lease sale BFFs, it will add the current lease sale number, and if necessary, link your username to the entity on whose behalf you are making a bid deposit.

New and Existing Bidders

Upon completion of the above Pay.gov steps, ONRR will send Pay.gov payment instructions.

** Please factor in the additional time to establish and link user accounts in Pay.gov. We encourage you to promptly log into Pay.gov and make your bid deposit to ensure the deposit receipt by the Bid Deposit Deadline. **

Section II (Principal Point of Contact)

(Principal Point of Contact Name) (Area Code - Contact Phone Number)

(Company Street Address) (City, State, Zip)

(Email Address) (Alternate Phone) (Fax)

Signature _____

Section III (Electronic Fund Transfer Payments)

Electronic Fund Transfer (EFT) payments will be coordinated by:

(Primary Individual) (Phone) (Fax)

(Alternate Individual) (Phone) (Fax)

NOTE: THIS INFORMATION MUST BE PROVIDED FOR EACH LEASE SALE IN WHICH YOU WILL PARTICIPATE. PROVIDE THIS INFORMATION NO LATER THAN THE DATE PUBLISHED IN THE FINAL SALE NOTICE FOR THIS SALE.

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Section IV (Individuals Authorized to Bid)

The following individuals will be the only people authorized to bid on behalf of the company identified in Section I. Up to three individuals may be nominated by the company.

_____	_____
(Authorized Individual 1 Name)	(Area Code - Contact Phone Number(s))
_____	_____
(Company Street Address)	(City, State, Zip)
_____	_____
(Email Address)	(Fax #)
Signature_____	

_____	_____
(Authorized Individual 2 Name)	(Area Code - Phone Number(s))
_____	_____
(Company Street Address)	(City, State, Zip)
_____	_____
(Email Address)	(Fax #)
Signature_____	

_____	_____
(Authorized Individual 3 Name)	(Area Code - Phone Number(s))
_____	_____
(Company Street Address)	(City, State, Zip)
_____	_____
(Email Address)	(Fax #)
Signature_____	

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Section V (Affiliated Entities)

The following bidders are listed as qualified bidders in the final sale notice for this lease sale and are affiliated with the company identified in Section I of this form.

_____	_____
_____	_____
_____	_____

Section VI (Certification)

1. I, _____, certify that the company identified in Section I did not and will not engage in anticompetitive bidding behavior in violation of Federal law, BOEM's regulations, or auction procedures.
2. I further certify that the participation of the company identified in Section I in this auction process is a good faith effort to win a lease to engage in the development of renewable energy resources.
3. I, as an authorized representative of the company identified in Section I, certify to the truth and accuracy of the statements and information provided in Sections I through VI of this form.
4. I acknowledge that, with regard to the computer system supporting the auction, unauthorized user attempts to (1) access, upload, change, delete or deface information on this system, (2) modify this system, (3) deny access to this system, or (4) otherwise misuse this system are strictly prohibited and may result in criminal and civil penalties.

(Signature of Authorized Officer)

Date

(Name)

(Title)

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Section VII (Bidding Credits)

- _____ Yes, I will meet the requirements for a 20 percent bidding credit for committing funds to support workforce training programs for the floating offshore wind industry, development of a U.S. domestic supply chain for the floating offshore wind energy industry, or both. My funds commitment will be equal to the entire bidding credit value if I am the winning bidder for a lease. Additional information and guidance can be found in the document entitled, *Bidding Credits – Requirements and Restrictions*, which is an addendum to this form.
- _____ Yes, I will meet the requirements for a 5 percent bidding credit for entering into a Lease Area Use Community Benefit Agreement (Lease Area Use CBA) with one or more communities, stakeholder groups, or Tribal entities whose use of the geographic space of the Lease Area, or whose use of resources harvested from that geographic space, is expected to be impacted by the potential offshore wind development, and have attached my conceptual strategy to that effect. Alternatively, I have executed a qualifying Lease Area Use CBA. I have attached the Lease Area Use CBA to meet the requirement to earn the 5 percent bidding credit with this form. Additional information and guidance can be found in the document entitled, *Bidding Credits – Requirements and Restrictions*, which is an addendum to this form.
- _____ Yes, I will meet the requirements for a 5 percent bidding credit for entering into a General Community Benefit Agreement (General CBA) with one or more communities, Tribes, or stakeholder groups that are expected to be affected by the potential impacts on the marine, coastal, and/or human environment (such as impacts on visual or cultural resources) from activities resulting from lease development that are not otherwise addressed by the Lease Area Use CBA, and have attached my conceptual strategy to that effect. Alternatively, I have executed a qualifying General CBA. I have attached the General CBA to meet the requirement to earn the 5 percent bidding credit with this form. Additional information and guidance can be found in the document entitled, *Bidding Credits – Requirements and Restrictions*.
- _____ I have agreed to meet the requirements for at least one of the above bidding credits. I have attached my conceptual strategy(ies) (or qualifying, executed Lease Area Use CBA or General CBA, if applicable) to meet the requirements to earn the bidding credit(s) with this form. If I qualify for each bidding credit I have indicated, my total bidding credit would be _____ percent (not to exceed a combined total of 30 percent) of the cash component of my high bid. BOEM will confirm my bidding credit eligibility prior to the Mock Auction.
- _____ No, I do not wish to commit to (1) funding workforce training or supply chain development in return for a 20 percent bidding credit, or (2) entering into a Lease Area Use CBA with one or more communities, stakeholder groups, or Tribal entities whose use of the geographic space of the Lease Area, or whose use of resources harvested from that geographic space, may be impacted by the potential offshore wind development for a 5 percent bidding credit, or (3) entering into a General CBA with one or more communities, Tribes, or stakeholder groups that are expected to be directly affected by the potential impacts on the marine, coastal, and/or human environment (such as impacts on visual or cultural resources) from activities resulting from lease development that are not otherwise addressed by the Lease Area Use CBA for a 5 percent bidding credit. I understand that, should I be named a provisional winner in the auction, I will be required to pay my entire bonus bid value as described in the FSN. As discounts or credits are only provided for the above credits with prior BOEM approval, my cash component of my bid will not be awarded a discount.

NOTE: THIS INFORMATION MUST BE PROVIDED FOR EACH LEASE SALE IN WHICH YOU WILL PARTICIPATE. PROVIDE THIS INFORMATION NO LATER THAN THE DATE PUBLISHED IN THE FINAL SALE NOTICE FOR THIS SALE.

Instructions to Bidders for the Bidder's Financial Form

The instructions below detail how to complete the Bidder's Financial Form. For ease of reference, each data item is entitled exactly as it appears on the form.

Section I (General)

- Enter the **OCS Lease Sale Number** – a new “Bidder's Financial Form” will be required for each sale
 - **Name of Company Submitting Bid** should be the same name as listed on the qualification package with BOEM
 - **BOEM Company Number** – the qualification number issued by BOEM
 - **Tax Identification Number** – your company's TIN #
 - **Pay.Gov User** is the individual who will be leaving a bid deposit on behalf of the company. This user will be registered with pay.gov to give them access to place a bid deposit in a renewable energy lease sale. This **Phone Number** and **Email Address** will be used to contact the individual when they are established in pay.gov and to give additional instructions on how to setup a pay.gov account. *This is a private form on pay.gov and only individuals who have been properly set up and linked to a company can access this page and submit a bid deposit on behalf of a company.* If you already have a pay.gov account active under the email address provided, BOEM will link that address to your pay.gov account shortly after receiving and processing this form.
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Section II (Principal Point of Contact)

BOEM will send Bidder Authentication materials separately for security reasons. BOEM will send one package to the Principal Point of Contact, and the other will be sent directly to each authorized user at the email addresses provided in Section V. The auction system will require software tokens for two-factor authentication. To set up the tokens, authorized individuals will need to download an app onto their smartphone or tablet with a recent operating system. One of the emails sent to authorized individuals will contain instructions for installing the app and the credentials needed to activate the software token. A short telephone conversation with the auction contractor may also be needed to use the credentials. The login information, along with the tokens, will be tested during the Mock Auction, and during an optional connectivity test prior to the Mock Auction. If an eligible bidder fails to submit a bid deposit or does not participate in the auction, BOEM will de-activate that bidder's tokens and login information.

If you need to verify this information, you may contact the BOEM Pacific Regional Office at renewableenergypocs@boem.gov. Packages to be sent will include: Bid Packages containing software bidding tokens and information on the auction system.

Section III (EFT Payments)

- **Primary Individual, Phone and Fax number** (including the area code) should be those of your EFT coordinator. BOEM or ONRR will contact this person to resolve any EFT problems.

Only one EFT coordinator and one alternate should be designated for bids made by several companies as joint bidders.

NOTE: EFT includes: ACH, Fedwire, and Pay.Gov

Section IV (Individuals Authorized to Bid)

- **Individuals 1-3** will be the only individuals authorized to participate in the auction. At least one and up to three individuals may be specified. Be sure to complete **Street Address, City, State, Zip Code, Email Address, Contact Phone Number(s), Fax Number, and Signature** for all specified individuals.
 - The login credentials (user ID, password, token ID) are assigned to these individuals and are not transferrable. The user ID and password will be sent directly to the individuals, and the tokens will be sent separately to the Principal Point of Contact for distribution. All authorized individuals will receive a link to the “Auction Manual.”
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Section V (Affiliated Entities)

“Affiliated entities” is defined in the final sale notice for this lease sale. BOEM considers two entities to be affiliated if:

- (1) They own or have common ownership of more than 50 percent of the voting securities, or instruments of ownership or other forms of ownership, of another person (for the purpose identifying affiliated entities, “person” means any individual, firm, corporation, association, partnership, consortium, or joint venture (when established as a separate entity)). Such ownership of less than 10 percent of a person constitutes a presumption of non-control that BOEM may rebut.
- (2) They own or have common ownership of 10 through 50 percent of the voting securities or instruments of ownership, or other forms of ownership, of another person, and BOEM determines that there is control upon consideration of the following factors:
 - (i) The extent to which there are common officers or directors.
 - (ii) With respect to the voting securities, or instruments of ownership or other forms of ownership: The percentage of ownership or common ownership, the relative percentage of ownership or common ownership compared to the percentage(s) of ownership by other persons, if a person is the greatest single owner, or if there is an opposing voting bloc of greater ownership.
 - (iii) Operation of a lease, grant, or facility as defined in BOEM’s regulations at 30 CFR 585.112.
 - (iv) The extent of other owners’ participation in operations and day-to-day management of a lease, grant, or facility as defined in BOEM’s regulations at 30 CFR 585.112.
 - (v) Other evidence of power to exercise control over or common control with another person.
- (3) Regardless of any percentage of ownership or common ownership, they are relatives, either by blood or marriage.

(4) They are both direct, or indirect, subsidiaries of the same parent company.

Section VI (Certification)

The person signing this form must be an authorized signatory with their name and title depicted exactly as reflected in the qualification documentation on file with BOEM.

Section VII (Bidding Credits)

Bidders must state whether they are committing to contribute funds to earn the bidding credit(s).

To earn a bidding credit worth 20 percent of your cash bid, your contribution of funds must support floating offshore wind workforce training programs, floating offshore wind domestic supply chain development, or both. You must commit the entirety of the bidding credit amount to workforce training, supply chain development, or both as described in the final sale notice and the addendum to this form entitled *Bidding Credits – Requirements and Restrictions*.

To earn a bidding credit worth 5 percent of your cash bid, you must execute a Lease Area Use CBA with one or more communities, stakeholder groups, or Tribal entities whose use of the geographic space of the Lease Area, or whose use of resources harvested from that geographic space, is expected to be impacted by the potential offshore wind development. The Lease Area Use CBA bidding credit requirements are described in the final sale notice and the addendum to this form entitled *Bidding Credits – Requirements and Restrictions*.

To earn a bidding credit worth 5 percent of your cash bid, you must execute a General CBA with one or more communities, Tribes, or stakeholder groups that are expected to be affected by the potential impacts on the marine, coastal, and/or human environment (such as impacts on visual or cultural resources) from activities resulting from lease development that are not otherwise addressed by the Lease Area Use CBA. The General CBA bidding credit requirements are described in the final sale notice and the addendum to this form entitled *Bidding Credits – Requirements and Restrictions*.

Winning bidders are obligated to honor their commitments in accordance with the lease's bidding credit provisions.

The completed form is due by the date specified in the final sale notice for this sale.

You may submit this form electronically or in paper copy by mail.

Submit electronic form and conceptual strategy(ies) as appropriate to:

renewableenergypocs@boem.gov

Mail hard copy to:

Attn: Leasing Specialist
Bureau of Ocean Energy Management
Pacific Regional Office
Mail Stop CM 102
760 Paseo Camarillo (Suite 102)
Camarillo, California 93010-6002

Please contact Sara Gultinan for questions regarding this form at (805) 384-6345 or

sara.gultinan@boem.gov.